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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

*Attorney Docket No.:* 2001\_0034A

*First Named Inventor* : Koji YOTSUMOTO

*Title:* RECEIVER

*Express Mail Label No.:*

## APPLICATION ELEMENTS

*See MPEP chapter 600 concerning utility patent application contents.*

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

- |   |  |
|---|--|
| <p>1. [X] Fee Transmittal Form<br/><i>(Submit an original, and a duplicate for fee processing)</i></p> <p>2. [ ] Small Entity Status is hereby asserted.</p> <p>3. [X] Specification<br/><i>(preferred arrangement set forth below)</i></p> <ul style="list-style-type: none"> <li>- Descriptive title of the Invention</li> <li>- Cross References to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to Sequence Listing, a table, or a computer program listing appendix.</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings <i>(if filed)</i></li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> <p>4. [X] Drawing(s) <i>(35 USC 113)</i></p> <p>5. [X] Oath or Declaration</p> <p>a.1. [ ] Newly executed (original or copy)</p> <p>a.2. [X] Unexecuted</p> <p>b. [ ] Copy from a prior application (37 CFR 1.63(d))<br/><i>(for continuation/divisional with Box 18 completed)</i></p> <p>i. [ ] <b><u>DELETION OF INVENTOR(S)</u></b><br/>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. [ ] Application Data Sheet (see 37 CFR 1.76)</p> | <p>7. [ ] CD-ROM or CD-R in duplicate, large table or computer program <i>(Appendix)</i></p> <p>8. [ ] Nucleotide and/or Amino Acid Sequence Submission<br/><i>(if applicable, all necessary)</i></p> <ul style="list-style-type: none"> <li>a. [ ] Computer Readable Form</li> <li>b. Specification Sequence Listing on:           <ul style="list-style-type: none"> <li>i. [ ] CD-ROM or CD-R (2 copies); or</li> <li>ii. [ ] Paper</li> </ul> </li> <li>c. [ ] Statement verifying identity of above copies</li> </ul> |
| <b>ACCOMPANYING APPLICATION PARTS</b>   |  |
| <p>9. [ ] Assignment Papers (cover sheet &amp; document(s))</p> <p>10. [ ] 37 CFR 3.73(b) Statement <i>(when there is an assignee)</i></p> <p>[ ] Power of Attorney</p> <p>11. [ ] English Translation Document <i>(if applicable)</i></p> <p>12. [ ] Information Disclosure Statement (IDS)/PTO-1449</p> <p>[ ] Copies of IDS Citations</p> <p>13. [ ] Preliminary Amendment</p> <p>14. [X] Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i></p> <p>15. [ ] Certified Copy of Priority Document(s)<br/><i>(if foreign priority is claimed)</i></p> <p>16. [ ] Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).<br/>Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. [X] Other <b>COVER LETTER FOR APPLICATION FILED WITHOUT EXECUTED DECLARATION</b></p>   |  |

#### **ACCOMPANYING APPLICATION PARTS**

- 9.  Assignment Papers (cover sheet & document(s))
  - 10.  37 CFR 3.73(b) Statement (*when there is an assignee*)
    - Power of Attorney
  - 11.  English Translation Document (*if applicable*)
  - 12.  Information Disclosure Statement (IDS)/PTO-1449
    - Copies of IDS Citations
  - 13.  Preliminary Amendment
  - 14.  Return Receipt Postcard (MPEP 503) (*Should be specifically itemized*)
  - 15.  Certified Copy of Priority Document(s)
    - (if foreign priority is claimed)*
  - 16.  Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent.
  - 17.  Other **COVER LETTER FOR APPLICATION FILED WITHOUT EXECUTED DECLARATION**

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet:

Continuation      Divisional      Continuation-in-part (CIP)      of prior application No.

*Prior Application Information:* Examiner \_\_\_\_\_ Group Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**



000513

PATENT TRADEMARK OFFICE

By: Michael Thompson

**Michael S. Huppert**  
Registration No. 40,268

**WENDEROTH, LIND & PONACK, L.L.P.**  
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Washington, D.C. 20006 -  
Phone: (202) 721-8200  
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January 22, 2001

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEE FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Koji YOTSUMOTO

Serial No. NEW

: Attn: APPLICATION BRANCH

Filed January 22, 2001

: Attorney Docket No. 2001\_0034A

RECEIVER

JC853 U.S. PRO  
09/765274  
01/22/01

**PATENT OFFICE FEE TRANSMITTAL FORM**

Assistant Commissioner for Patents  
Washington, DC 20231

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEE FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975.

Sir:

Attached hereto is a check in the amount of \$1,190.00 to cover Patent Office fees relating to filing the following attached papers:

New application ..... \$710.00

Assignment for Recordal ..... \$

Additional Claims Fee:

Independent (X), Dependent 0, or Excess of Twenty 0 ..... \$480.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

Koji YOTSUMOTO

By

*Michael S. Huppert*

Michael S. Huppert  
Registration No. 40,268  
Attorney for Applicant

MSH/kjf  
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Telephone (202) 721-8200  
January 22, 2001

[Check No. 411676]  
2001\_0034A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Koji YOTSUMOTO : Attn: APPLICATION BRANCH

Serial No. NEW : Docket No. 2001\_0034A

Filed January 22, 2001 :

RECEIVER

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**COVER LETTER FOR APPLICATION FILED  
WITHOUT EXECUTED DECLARATION**

Assistant Commissioner for Patents  
Washington, DC 20231

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEE FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975.

Sir:

The above-identified application has been submitted without an executed oath or declaration pursuant to 37 CFR 1.41(c).

It is respectfully requested that this application be assigned a serial number and awarded a filing date pursuant to 37 CFR 1.53.

A duly executed oath or declaration pursuant to 37 CFR 1.63 will be submitted after notification by the U.S. Patent and Trademark Office pursuant to 37 CFR 1.53(f).

A non-executed copy of the Declaration and Power of Attorney, containing the inventorship information, is attached. It is respectfully requested that all communications be directed to the firm indicated on the attached Declaration and Power of Attorney, namely:

WENDEROTH, LIND & PONACK, L.L.P.  
2033 K Street, N.W., Suite 800  
Washington, D.C. 20006

The required U.S. Patent and Trademark Office Filing Fee is submitted herewith.

Respectfully submitted,

Koji YOTSUMOTO

By



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Attorney for Applicant

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January 22, 2001